

# Optimization Framework for the CACAO VM

Masterstudium:  
Computational Intelligence

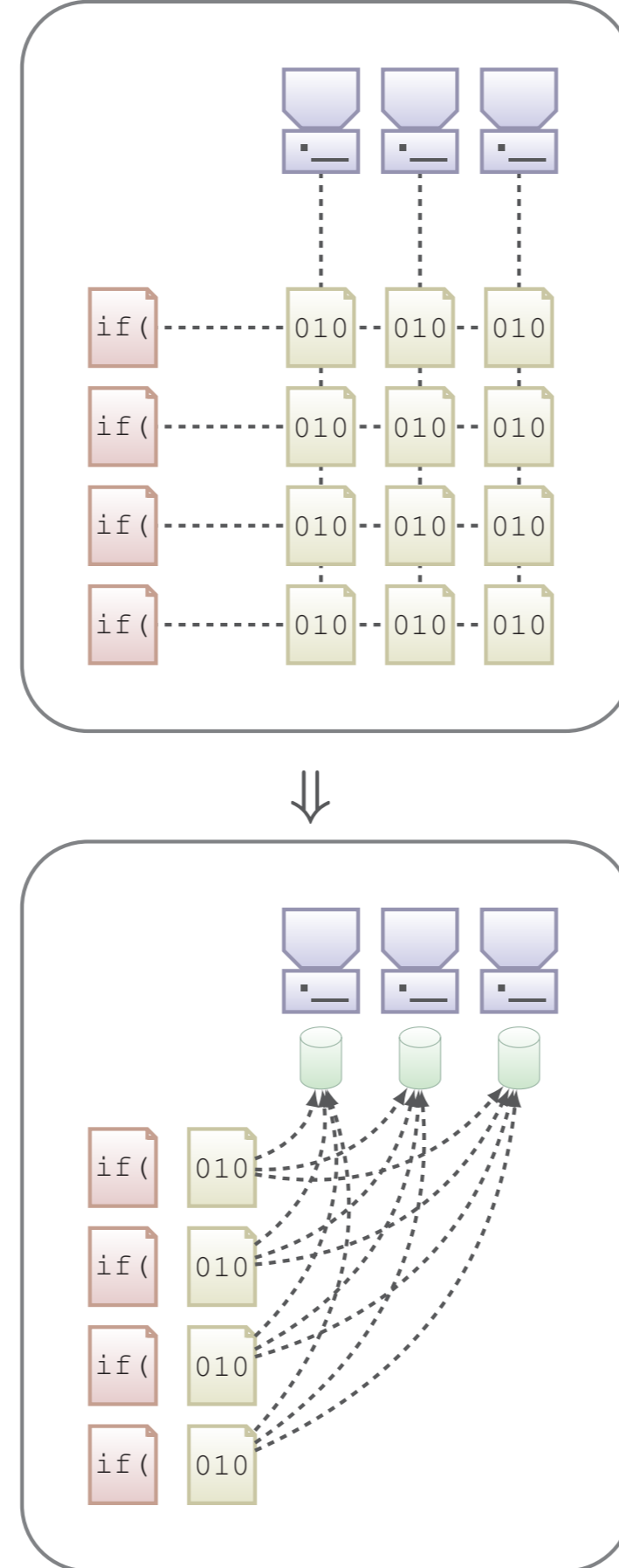
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## Virtual Machines

### Why Virtual Machines?

- ▶ **Portability:** Reduce the number of program images from  $P \times M$  to  $P + M$ .
- ▶ **Runtime System:** Virtual machines provide a runtime system to the application, for instance garbage collection or dynamic loading.
- ▶ **Security:** Program images can be signed and verified prior execution.
- ▶ **Program representation:**
  - ▶ **Bytecode:** A dedicated ahead-of-time compiler converts the program source into a portable, low-level representation (Java Bytecode, Microsoft CLI, Pascal p-code).
  - ▶ **Source Code:** The source code of the program is supplied to the virtual machine. Usually the VM compiles the source into bytecode. Commonly used for “scripting languages” (JavaScript, Python, Ruby).
- ▶ **Execution:**
  - ▶ **Interpretation:** The virtual machine *simulates* the instructions of the program.
  - ▶ **Compilation:** The program is *just-int-time* (JIT) *compiled* into native machine code.

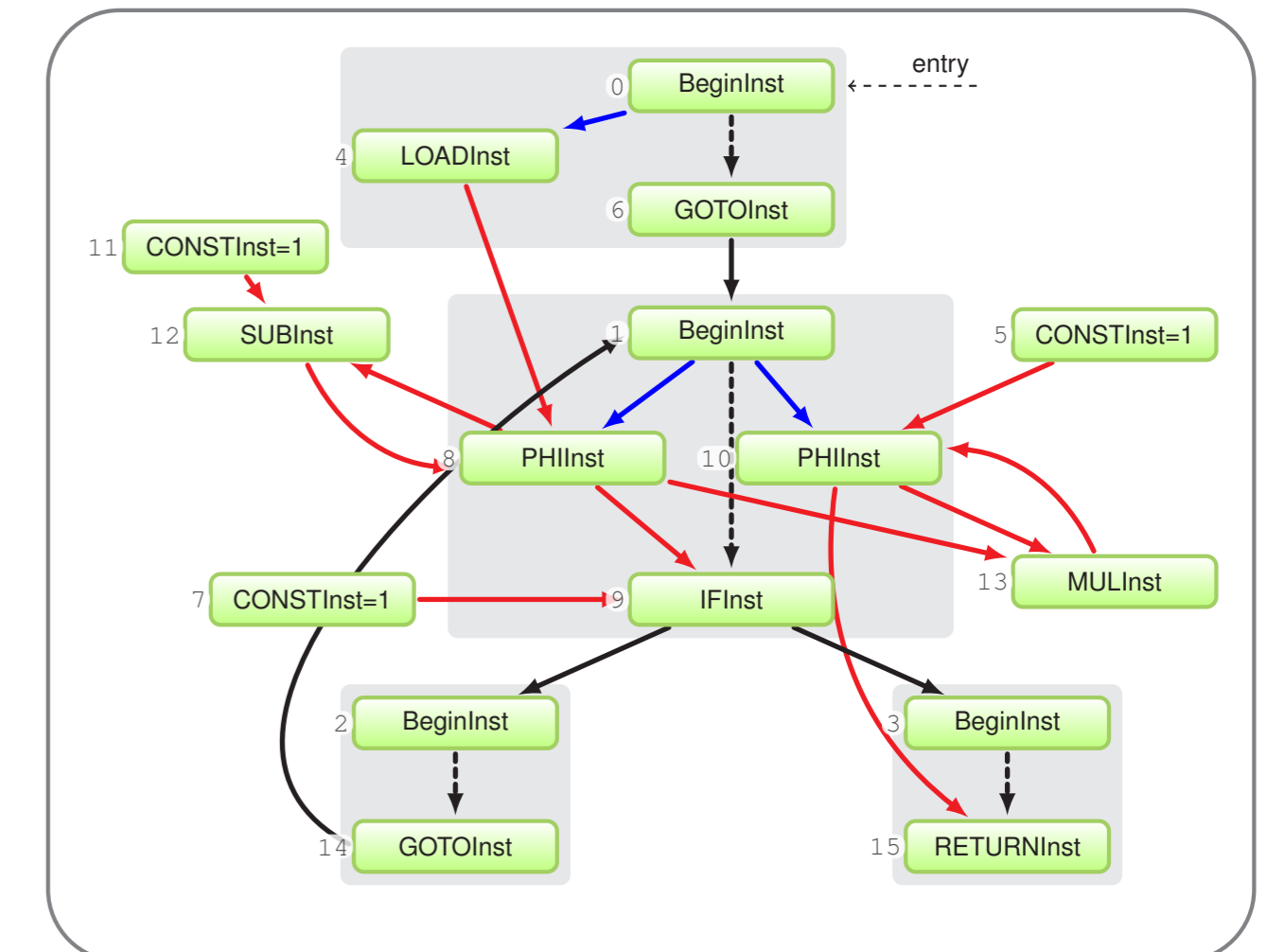


## High-level IR

### Graph-based IR [2]

#### SSA-form:

- ▶ No *variables* or *register*.
- ▶ No destructive assignment.
- ▶ Instructions represent values.
- ▶ **Less restrictive:**
  - ▶ No explicit basic blocks.
  - ▶ No fixed schedule:
    - ▶ Floating nodes.



```
static long fact(long n) {
    long res = 1;
    while (1 < n) {
        res *= n--;
    }
    return res;
}
```

```
0: BeginInst (6)
4: LOADInst = $0 <0>
5: CONSTInst = $1
6: GOTOInst [1]
1: BeginInst (9)
10: PHIInst ($5,$13) <1>
8: PHIInst ($4,$12) <1>
7: CONSTInst = $1
9: IFInst [2,3]
2: BeginInst (14)
13: MULInst ($8,$10)
11: CONSTInst = $1
12: SUBInst ($8,$11)
14: GOTOInst [1]
3: BeginInst (15)
15: RETURNInst ($10)
```

#### Edge types:

- ▶ *data edge* →
- ▶ *control-flow edge* →
- ▶ *scheduling edge* →

#### Goal:

- ▶ Support pass development.
- ▶ Targeted on common tasks:
  - ▶ Data-flow graph (DFG) traversal.
  - ▶ Control-flow graph (CFG) traversal.

#### Additional scheduling required:

- ▶ Arrange floating instruction in basic blocks (Global Scheduling).

## Low-level IR

### Classic intermediate representation:

- ▶ Explicit *basic blocks* (already in linear order).
- ▶ Basic blocks consist of a list of *instructions*.
  - ▶ Ideally one LIR instruction models one machine instruction.
- ▶ Explicit operands for data transfer:
  - ▶ Virtual and physical registers, virtual and physical stack-slots, constants.

### Relaxed SSA-properties:

- ▶ *Dominance* property and *single reaching* definition ( $\varphi$ -nodes).
- ▶ Possible to express SSA-violating constraints (two-address instruction, fixed register operand) and still use simple algorithms.

### Focused on register allocation and code generation.

## Adaptive Optimization

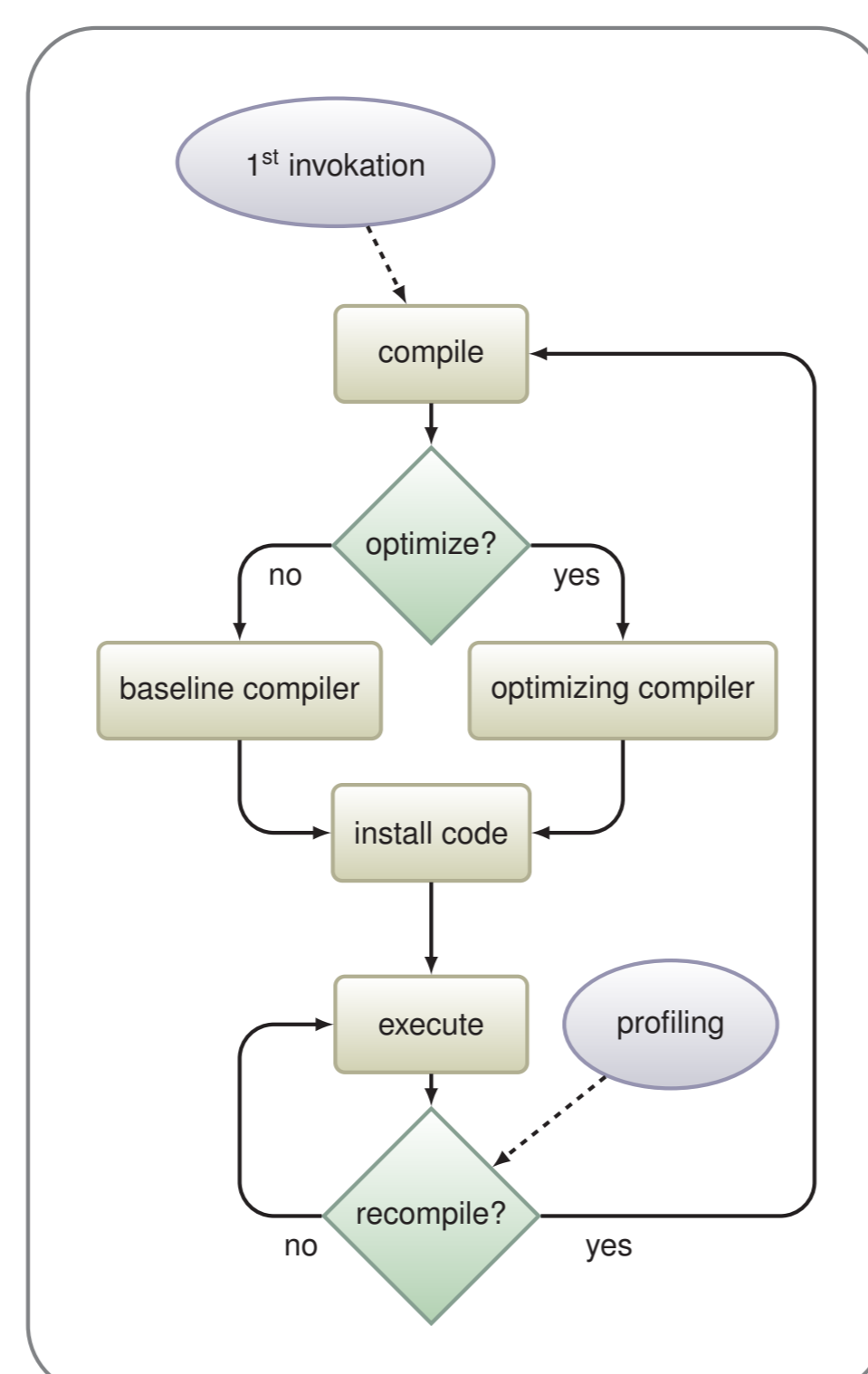
### Why Adaptive Optimization?

- ▶ **Interprete Everything:** Program execution is too slow.
- ▶ **Compile Everything:** Program startup is too slow.
- ▶ **Solution:**
  - ▶ **Profile** the runtime behavior and find frequently executed parts.
  - ▶ **Compile** these *hot methods* with a high optimization level to generate better performing machine code.
  - ▶ **Redirect** all calls to the optimized machine code.

## The CACAO VM

### Virtual Machine for Java bytecode [1]

- ▶ **JIT-only approach:**
  - ▶ No interpreter but a fast *baseline* compiler.
  - ▶ Better performance than interpretation but inferior code quality compared to heavy weight optimizing compilers.
- ▶ **Problem:** Baseline compiler not suitable for elaborate optimizations.
  - ▶ Tuned for low compilation latency (integrated passes, simple data structures).
- ▶ **Solution:** Dedicated Optimization Framework.
  - ▶ Independent optimizing compiler.
  - ▶ Focused on program optimization and analysis.
  - ▶ Make compiler-passes easy to implement.



## Pass Manager

- ▶ **Modular pass infrastructure** with a clean interface.
  - ▶ *Pass objects* encapsulate data and computation.
  - ▶ Data exchange via *Pass objects* – no global data structures.
- ▶ **Automatic pass scheduling** based on interdependencies.
  - ▶ Optional passes can be inserted on demand.
  - ▶ Dynamic optimization profiles based on runtime information.

## Passes

### High-level passes:

- ▶ SSA construction, loop analysis, dominator analysis, basic block/global/instruction scheduling, lowering to LIR

### Low-level passes:

- ▶ Lifetime analysis, linear scan register allocation, code generation

## Results

- ☹ *Compile time:* 30-50 times slower than the baseline compiler.
  - ▶ Tuning potential. Not yet optimized towards this goal.
- ☹ Higher *memory consumption*.
- 😊 *Comparable codesize* even without advanced optimizations.
- 😊 Optimizations *are* easy to implement, for instance:
  - ▶ **Deadcode elimination:** single iteration over all instructions.
  - ▶ **Constant folding:** single recursive traversal over instructions.
  - ▶ *No additional analysis required!*

## References

- ▶ [Andreas Krall.](#)  
Efficient JavaVM Just-in-Time Compilation. 1998.
- ▶ [Cliff Click and Michael Paleczny.](#)  
A Simple Graph-Based Intermediate Representation. 1995.